FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK ATTORNEY'S DOCKET NO. DE 020158 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED 0/518848<sup>1.5)</sup> OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/IB2003/00290# / June 19, 2003 June 28, 2002 TITLE OF INVENTION **ELECTROLUMINESCENT DEVICE WITH A TRANSPARENT CATHODE** APPLICANT(S) FOR DO/EO/US Helmut BECHTEL; Wolfgang BUSSELT; Herbert BOERNER; Dietrich BERTRAM Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FIRST submission of Items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. [ ] 3. [ ] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. [ ] copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. [X] is transmitted herewith (required only if not transmitted by the International Bureau). a. [ ] b. [X] has been transmitted by the international Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. [ ] A translation of the International Application into English (35 U.S.C. 371(c)(2)) 6. [ ] 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [ ] b. [ ] are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. c. [ ] have not been made; however, the time limit for making such amendments has NOT expired. d. [X] have not been made and will not be made. 8. [ ] A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10.[] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: 11. [ ] An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. 12. [ ] An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included. 13. [ ] A FIRST preliminary amendment. A SECOND OR SUBSEQUENT preliminary amendment. 14. [ ] A substitute specification. 15. [ ] A change of power of attorney and/or address letter. 16. [X] Other items or information: X Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account CERTIFICATE OF MAILING

[X] Express Mail Mailing Label No. Date of Deposit 12-21-04 I hereby certify that this paper and fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

> Jeanne Rusciano Typed Name

## DT09 Rec'd PCT/PT0 2 1 DEC 2004

### ACCULATIONS (PTO USE ONLY)  ### ACCULATION (PTO USE ONLY)  ### ACCULATION (PTO USE ONLY)  ### ACCULATION (PTO USE ONLY)  #	I U.S. APPLICATION NOTIFICATION SEC 37 (CED 15)				NAL APPLICATION NO. 002905			
Search Report has been prepared by the EPO or JPO \$880.00   International preliminary-examination fee paid to USPTO \$880.00   No international preliminary examination fee paid to USPTO \$750.00   No international preliminary examination fee paid to USPTO \$750.00   Neither international preliminary examination fee paid to USPTO \$750.00   Neither international preliminary examination fee paid to USPTO \$750.00   International preliminary examination fee paid to USPTO \$750.00   Surcharge of \$130.00 for furnishing the cash or declaration later than [ ] 20 [ ] 39 months from the earliest claimed priority date (37 C.F.R. 1.448(a)) and all claims satisfied provisions of PCT \$98.00    Surcharge of \$130.00 for furnishing the earliest Claimed priority date (37 C.F.R. 1.448(a)).  Surcharge of \$100.00 for furnishing the earliest Claimed priority date (37 C.F.R. 1.482(a)).  Independent claims 9 - 20 = 0 X \$18.00 \$0.00 \$0.00    Independent claims 1 - 3 = 0 X \$88.00 \$0.00 \$0.00    MULTIPLE DEPENDENT CLAIMS (if \$200.00 \$0.	17 [X] The following	fees are submitted:	CALCULATIONS (PTO USE ONLY)					
International preliminary-examination fee paid to USPTO (37 C.F. R. 1.482) No International preliminary examination fee paid to USPTO (37 C.F. R. 1.482) international preliminary examination fee paid to USPTO (37 C.F. R. 1.482) international preliminary examination fee paid to USPTO (37 C.F. R. 1.482) international preliminary examination fee (37 C.F.R. 1.446) international preliminary examination fee (37 C.F.R. 1.462) international preliminary examination fee (37 C.F.R. 1.462) international preliminary examination fee paid to USPTO Article 33(2)-4(4) and claims existed provisions of PCT Article 33(2)-4(4)-4(4)-4(4)-4(4)-4(4)-4(4)-4(4)-4								
No International preliminary examination fee paid to USPTO 37 C.F.R. 1.482(p) but international search fee paid to USPTO 17 C.F.R. 1.482(p) but international search fee paid to USPTO 17 C.F.R. 1.482(p) but international search fee paid to USPTO 18 C.F.R. 1.482(p) 575.000  Neither international preliminary examination fee jard to USPTO 27 C.F.R. 1.482(p) 570.000  International preliminary examination fee paid to USPTO 37 C.F.R. 1.482(p) 5870.000  International preliminary examination fee paid to USPTO 37 C.F.R. 1.482(p) 5870.000  ENTER APPROPRIATE BASIC FEE AMOUNT 5880.00  Surcharge of \$130.00 for furnishing the eath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.482(p)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total Claims 9 - 20 = 0 X \$18.00 \$ 0.00  MULTIPLE DEPENDENT CLAIMS (if applicable)  TOTAL OF ABOVE CALCULATIONS = \$ 0.00  MULTIPLE DEPENDENT CLAIMS (if applicable). Verified Small Entity Statement \$ 0.00  Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement \$ 0.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ 0.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ 0.00  Fee for recording the enclosed assignment (37 C.F.R. 1.492(p)). + \$ 0.00  Fee for recording the enclosed assignment (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.492(p). The application to reviee								
Neither International preliminary examination fee (37 C.F.R. 1.445(p)(2))  Neither International preliminary examination fee (37 C.F.R. 1.445(p)(2))  paid to USFTO  International preliminary examination fee paid to USFTO  (37 C.F.R. 1.423) and all claims satisfied provisions of PCT  Article 33(2)(4)  ENTER APPROPRIATE BASIC FEE AMOUNT = \$86.00  Surcharge of \$190.00 for furnishing the eath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  Surcharge of \$190.00 for furnishing the eath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  TOTAL Claims  NUMBER FILED  NUMBER EXTRA  RATE  TOTAL Claims  9 - 20 = 0	(37 C.F.R. 1.482) \$690.00							
paid to USPTO Technology examination fee paid to USPTO See. 1.444(s)(2) \$90.00  International proliminary examination fee paid to USPTO Article 33(2)-(4) and all claims satisfied provisions of PCT \$96.00  ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00  Surcharge of \$130.00 for furnishing the cash or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date [37 C.F.R. 1.482(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total Claims 9 - 20 = 0 X\$ 18.00 \$ 0.00  Independent claims 1 . 3 = 0 X\$ 88.00 \$ 0.00  Independent claims 1 . 3 = 0 X\$ 88.00 \$ 0.00  MULTIPLE DEPENDENT CLAIMS (if \$450.00 \$ 0.00  TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.5, 1.2/, 1.28)  SUBTOTAL = \$ 860.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ months from the earliest claimed priority date (37 C.F.R. 1.492(f)).  TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.492(f)). The assignment must be secompanied by an appropriate cover sheet (37 C.F.R. 1.21/h). The assignment must be secompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property + \$ 0.00  TOTAL FEES ENCLOSED = \$ 860.00  Amount to be Refunded \$ Charged \$ C	(37 C.F.R. 1.445(a)(2) \$750.00							
Actic P. T. Jacky and all claims satisfied provisions of PCT  Surcharge of \$100.00 for furnishing the cath or declaration later than [ ] 20 [ ] 30 months combine satisfies claimed priority date (37 C.F.R. 1.482(e)).  CLAIMS  NUMBER FILED  NUMBER EXTRA  RATE  Total Claims  9 - 20 = 0	nide international search fee (37 C.F.R. 1.445(a)(2))							
Surcharge of \$130,00 for furnishing the eath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).  Total Claims  9 - 20 = 0	(37 C.F.R. 1,482) and all claims satisfied provisions of PCT							
CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total Claims 9 - 20 = 0 X\$ \$18.00 \$ 0.00  Independent claims 1 - 3 = 0 X\$ \$88.00 \$ 0.00  Independent claims 1 - 3 = 0 X\$ \$88.00 \$ 0.00  TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Reductions by 1/2 for filing by small entity. If applicable. Verified Small Entity Statement \$ USBTOTAL = \$ 860.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ 0.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ 0.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ 0.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ 0.00  TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.492(ft)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.21(ft)). The assignment must be Refunded by an appropriate cover sheet (37 C.F.R. 3.28,331). \$40.00 per property \$ 0.00  TOTAL FEES ENCLOSED = \$ 860.00  Amount to be Refunded \$ 0.00  Amount to be Refunded \$ 0.00  Clarged \$ 0.00  Aduplicate copy of this sheet is enclosed.  C. [ X ] The Commissioner is breeby subroized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-120 in the amount of 14-120 Aduplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filled and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsels North America Corporation Biffacultif Manor, NY 10510	ENTER APPROPRIATE BASIC FEE AMOUNT =					\$ 860.00		
Total Claims 9 - 20 = 0 X\$\$18.00 \$ 0.00  Independent claims 1 - 3 = 0 X\$\$88.00 \$ 0.00  MULTIPLE DEPENDENT CLAIMS (If	Surcharge of \$130.00 from the earliest clain	for furnishing the oath one of the control of the c	\$					
Independent claims  1 - 3 = 0	CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE			
MULTIPLE DEPENDENT CLAIMS (IF +\$300.00 \$ 0.00  TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)  SUBTOTAL = \$ 860.00  Processing fee of \$130.00 for furnishing the English translation later than [ ]20 [ ]30 \$  TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.29(ft)). *  TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.21(ft)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31), \$40.00 per property + \$ 0.00  Amount to be Refunded Charged \$  a. [ ] A check in the amount \$	Total Claims	9 - 20 =	0		X \$ 18.00	\$ 0.00		
TOTAL OF ABOVE CALCULATIONS = \$ 0.00  Reductions by 1/2 for filling by small entity, if applicable. Verified Small Entity Statement \$  SUBTOTAL = \$ 860.00  Processing fee of \$130.00 for furnishing the English translation later than [ ]20 [ ]30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). +  TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.492(f)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.22(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 1.23,3.31). \$40.00 per property +  TOTAL FEES ENCLOSED = \$ 860.00  Amount to be Refunded Charged \$  a. [ ] A check in the amount \$	Independent claims	1 - 3 =	0		X \$ 88.00	\$ 0.00		
Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement  SUBTOTAL = \$ 860.00  Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 C.F.R. 1.492(ft)). +  TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.21(ft)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,331). \$40.00 per property +  TOTAL FEES ENCLOSED = \$ 860.00  Amount to be Refunded \$ Charged \$  a. [ ] A check in the amount \$	MULTIPLE DEPENDENT CLAIMS (if applicable)				+\$300.00	\$ 0.00		
SUBTOTAL = \$860.00  Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30	TOTAL OF ABOVE CALCULATIONS =					\$ 0.00		
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 \$ months from the earliest claimed priority date (37 C.F.R. 1.492(ft)).    TOTAL NATIONAL FEE	Reductions by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 C.F.R. 1.9, 1.27, 1.28)					\$		
TOTAL NATIONAL FEE = \$ 860.00  Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28,3.31). \$40.00 per property + \$ 0.00  TOTAL FEES ENCLOSED = \$ 860.00  Amount to be Refunded \$ Charged	SUBTOTAL =					\$ 860.00		
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TOTAL FEES ENCLOSED = \$860.00  Amount to be Refunded \$  Charged \$  a. [ ] A check in the amount \$ to cover the above fees is enclosed.  b. [X] Please charge my Deposit Account No. 14-1270 in the amount of \$860.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. [ X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel   Control of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.    NAME   Control of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.    NAME   Commissioner   Control of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.    NAME   Commissioner	TOTAL NATIONAL FEE =					\$ 860.00		
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a. [ ] A check in the amount \$ to cover the above fees is enclosed.  b. [X] Please charge my Deposit Account No. 14-1270 in the amount of \$860.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. [ X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001  Briarcliff Manor, NY 10510							\$	
b. [X] Please charge my Deposit Account No. 14-1270 in the amount of \$860.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.  c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001  Briarcliff Manor, NY 10510						Charged	\$	
b. [X] Please charge my Deposit Account No. 14-1270 in the amount of \$860.00 to cover the above fees.  C. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. 14-1270. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001 Frank Keegan (NAME)  Solution 14-1270 In the amount of \$860.00 to cover the above fees.  A duplicate copy of the Base Issue Fee, which may be required. Signature for the properties of the same is enclosed.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel (NAME)  Frank Keegan (NAME)  50,145	a. [ ] A check in the amount \$ to cover the above fees is enclosed.							
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001 Briarcliff Manor, NY 10510  SEND ALL CORRESPONDENCE TO:  (SIGNATURE)  Frank Keegan (NAME)  50,145	b. [X] Please charge my Deposit Account No. 14-1270 in the amount of \$ 860.00 to cover the above fees							
SEND ALL CORRESPONDENCE TO:  Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001   Frank Keegan (NAME)   Briarcliff Manor, NY 10510   50,145	c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No. <u>14-1270</u> . A duplicate copy of this sheet is enclosed.							
Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001 Briarcliff Manor, NY 10510  (SIGNATURE) Frank Keegan (NAME)  50,145	and the granted to restore the application to pending status.							
Corporate Patent Counsel Philips Electronics North America Corporation P.O. Box 3001 Briarcliff Manor, NY 10510  Frank Keegan (NAME)  50,145	SEND ALL CORRESPONDENCE TO:				Track &	Olgn 12/13/2	1	
P.O. Box 3001   (NAME)	Corporate Patent Cour Philips Electronics No	nsel orth America Corporation			,			
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